

449 (Modified)

Atty Docket No. SANDP045 Application No.:

Inventor

10/696,228 CHANG, Robert C. et al.

**Statement By Applicant** Group

2186

Filing Date

October 28, 2003

(Use Several Sheets if Necessary)

**Information Disclosure** 

Mailing Date

April 13, 2004

#### U.S. Patent or Published Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- Class
UR	A	5,930,193	07/1999	Achiwa et al.	365	230.03

Foreign Patent or Published Foreign Patent Application

Examiner		Document No.	Publication	Country or		Sub-
Initial	No.		Date	Patent Office	Class	class
UR	В	WO 00/14641	3/16/2000	WIPO		

## **Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication

Examiner Kevin L. Ellis	Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449 (Modified)

Atty Docket No.

SANDP045

Information Disclosure Statement By Applicant Application No.: Inventor

10/696,228 Robert C. Chang et al.

Group

Unassigned

(Use Several Sheets if Necessary)

Filing Date

October 28, 2003 December 11, 2003

Mailing Date December 11

DEC 1 5 2003

# U.S. Patent or Published Documents

<u>C 7</u>			N I WICHT OF I	apusica Documents	,		
Examiner Initial						Sub-	Filing
	No.	Patent No.	Date	Patentee	Class	Class	Date
NR	A	5,222,109	6/22/93	Pricer	377	24.1	12/28/90
	В	5,297,148	3/22/94	Harari et al.	371	10.2	10/20/92
	C	5,388,083	2/7/95	Assar et al.	365	218	3/26/93
	D	5,438,573	8/1/95	Mangan et al.	371	10.3	6/1/94
	E	5,568,439	10/22/96	Harari	365	218	6/6/95
	F	5,598,370	1/28/97	Niijima et al.	365	185.33	4/26/95
	G	5,835,935	11/10/98	Estakhri et al.	711	103	9/13/95
	H	5,845,313	12/1/98	Estakhri et al.	711	103	7/31/95
	I	5,860,082	1/12/99	Smith et al.	711	103	3/28/96
	J	5,907,856	5/25/99	Estakhri et al.	711	103	3/31/97
	K	5,924,113	7/13/99	Estakhri et al.	711	103	5/29/98
	L	6,016,275	1/18/00	Han	365	185.29	11/4/98
	M	6,081,447	6/27/00	Lofgren, et al.	365	185.02	3/5/99
	N	6,115,785	9/5/00	Estakhri et al.	711	103	5/13/99
	0	6,125,435	9/26/00	Estakhri et al.	711	201	11/24/97
	P	6,230,233	5/8/01	Lofgren, et al.	711	103	9/13/91
	Q	6,260,156	7/10/01	Garvin et al.	714	8	12/4/98
UR	R	6,426,893	7/30/02	Conley et al.	365	185.11	2/17/00

Foreign Patent or Published Foreign Patent Application

Exami	ner		Document	Publication	Country or		Sub-	Trans	slation
Initial		No.	No.	Date	Patent Office	Class	class	Yes	No
UR	7	S	62-283496	12/9/87	JP			X	
nk	6	T	62-283497	12/9/87	JP			X	

#### **Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication				
NE #	U	Kim, Jesung et al., "A Space-Efficient Flash Translation Layer for Compactflash Systems", IEEE Transactions on Consumer Electronics, Vol. 48, No. 2, May 2002				
Examiner	Kevi	in L. Elliv	Date Considered 11/1/05			

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

orm 1449 (Modified)

Atty Docket No. SANDP045 Application No.: 10/696,228

**Information Disclosure** Statement By Applicant Inventor CHANG, Robert et al. 2186

Group

October 28, 2003

(Use Several Sheets if Necessary)

Filing Date Mailing Date

February 12, 2004

### U.S. Patent or Published Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- Class	Filing Date
							-

Foreign Patent or Published Foreign Patent Application

Examiner		Document No.	Publication	Country or		Sub-	Tran	slation
Initial	No.		Date	Patent Office	Class	class	Yes	No
UK	Α	0 589 597 A2	03/30/1994	EPO				

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication

		·	
Examiner Hevin	L- Ellis	Date Considered	11/1/05

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Complete if Known						
Application Number	10/696,228					
Filing Date	10/28/2003					
First Named Inventor	Chang et al.					
Art Unit	2188					
Examiner Name	Padmanabhan					
Attorney Docket Number	SANDPOAS					

Examiner Initials*	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2 (F troom)</sup>		Applicate of Origin Document		
UR	L	<sup>US-</sup> 6226759	05-2001	Miller et al.		
ı		<sup>US-</sup> 6009496	12-1999	Tsai		
		US- 5954828	09-1999	Lin		
		US- 6282605 B1	08-2001	Moore		
		<sup>US-</sup> 6381176 B1	04-2002	Kim et al.		
		<sup>US-</sup> 6523132 B1	02-2003	Harari et al.		
		us- 6694402	02-2004	Muller		
		US- 6741486	05-2004	Sakui		
		<sup>US-</sup> 6683817	01-2004	Wei et al.		
		. <sup>US-</sup> 5406529	04-1995	Asano		
		<sup>US-</sup> 6604168	08-2003	Ogawa		
		US- 5737742	04-1998	Achiwa et al.		
		<sup>US-</sup> 5568423	10-1996	Jou et al.		
		US- 5963473	10-1999	Norman		
1		us- 6732221	05-2004	Ban		
ne		US- 5956473	09-1999	Ma et al.		
		US-				
		US-				
		US-				

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	П
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)	MM-DD-YYYY	y prison of once bootiness	Or Relevant Figures Appear	T <sup>6</sup>
	<u> </u>					
	<u> </u>					<u> </u>
						-
	$\vdash$					┡

		<del></del>		
Examiner	1		Date	/ /
Signature	1 1/2 1	1 GII.		11/1/0-
Oignature	Nevin	L. L/117	Considered	1111105
				•

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

	te for form 1449/PTO			Terequired to respond to a collection of information unless it contains a valid OMB control number.  Complete if Known		
				Application Number	10/696,228	
			CLOSURE	Filing Date	10/28/2003	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Chang et al.	
	(Use as many she	ets as n	ecessarvi	Art Unit	2188	
				Examiner Name	Padmanabhan	
Sheet	2	of	2	Attorney Docket Number	SANDP045	

	Lou	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
UR		CHIANG et al., "Managing Flash Memory in Personal Communication Devices", International Symposium on Consumer Electronics (IEEE, 1997), pp. 177-82.	
UR		CHANG et al., "An Adaptive Striping Architecture for Flash Memory Storage Systems of Embedded Systems", 8th Real-Time and Embedded Tech. and Appl. Symp.(IEEE, Sept. 24, 2002).	
		·	
	•		
		·	

Examiner	17	Date	· •
		Date 111/	. /
Signature	l Nevia L. Elhs	Considered   11/	1/25
<u> </u>		Considered   '''	103

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.